

02-08-02

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JC971 U.S. PTO
10/068035
02/05/02

Box Patent Application
 Assistant Commissioner for Patents
 Washington, DC 20231

Express Mail No.: ET796587916US
Attorney Docket No.: 2002082-0001
Date Filed: February 5, 2002

UTILITY PATENT APPLICATION TRANSMITTAL

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

<u>Given Name (first and middle)</u>	<u>Family Name or Surname</u>	<u>Residence (City and State or Foreign Country)</u>
Andrew L.	Stoll	Lincoln, MA
Wolfram E.	Severus	Berlin, Germany

Title of the Invention: Omega-3 Fatty Acids and Omega-3 Phosphatidylcholine in the
Treatment of Bipolar Disorder

A) APPLICATION ELEMENTS:

- 1) ☐ **Fee Transmittal Form** (original and duplicate submitted for fee processing)
- 2) ☒ **Applicant Claims Small Entity Status** (see 37 C.F.R. § 1.27)
 - a) ☒ **Statement Verifying Small Entity Status** (optional)
- 3) ☒ **Specification**

TOTAL PAGES: 13

 - ☒ Descriptive Title of the Invention
 - ☐ Cross References to Related Applications (if applicable)
 - ☐ Statement Regarding Federally Sponsored R & D (if applicable)
 - ☐ Reference to sequence listing, a table, or a computer program listing appendix
 - ☒ Background of the Invention
 - ☒ Summary of the Invention
 - ☐ Description of the Drawing (if filed)
 - ☒ Detailed Description of the Invention
 - ☒ Claim(s)
 - ☒ Abstract of the Invention

- 4) ☐ **Drawing(s)** (35 U.S.C. § 113) TOTAL SHEETS: _____
a) ☐ Formal Drawings (if checked)
- 5) ☒ **Oath or Declaration** TOTAL PAGES: 4
a) ☐ Newly Executed (original or copy)
b) ☒ Copy from a prior application (37 C.F.R. § 1.63(d))-for
continuation/divisional application
i) ☐ Deletion of inventor(s): Signed Statement deleting inventor(s) named
in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
c) ☐ Unexecuted
- 6) ☐ **Application Data Sheet**. See 37 C.F.R. § 1.76.
- 7) ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix)**
- 8) ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are
necessary)
a) ☐ Computer Readable Form (CRF)
b) ☐ Specification Sequence Listing on:
i) ☐ CD-ROM or CD-R (2 copies); or
ii) ☐ Paper
c) ☐ Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

- 9) ☐ **Assignment Papers** (cover sheet & document(s))
- 10) ☐ **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)
- 11) ☐ **Power of Attorney**
- 12) ☐ **English Translation Document** (if applicable)
- 13) ☐ **Information Disclosure Statement (IDS)/PTO-1449**
- 14) ☐ **Copies of IDS Citations**

- 15) ☐ Preliminary Amendment
- 16) ☒ Return Receipt Postcard (MPEP 503) (specifically itemized)
- 17) ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 18) ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)
- 19) ☐ OTHER: (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

☒ continuation ☐ divisional ☐ continuation-in-part (CIP)

of prior application no.: 09/269,361

filed: March 22, 1999

examiner: W. Jarvis

group/art unit: 1614

for continuation or divisional applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D.) PRIORITY CLAIM(S):

This application claims the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (patented, pending, abandoned)</u>
09/269,361	March 22, 1999	Pending
PCT/US97/06712	April 23, 1997	Published

This application claims the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (pending, expired, abandoned)</u>
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E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

- ☒ Applicant claims small entity status 37 C.F.R. § 1.27.
- ☒ A check is enclosed to cover the filing fees. A check for \$370.00 is enclosed. Please charge any additional fees to our deposit account 03-1721.
- ☒ The commissioner is hereby authorized to charge filing fees or to credit any overpayment to deposit account number 03-1721.

Basic Filing Fee (Small Entity)	\$370.00
Additional Fees:	
Total Number of Claims in excess of 20: (25- 20) x \$9	\$45.00
Number of Independent Claims in excess of 3:(4- 3) x \$42	\$42.00
Multiple Dependent Claims \$140:	\$0.00
Total Filing Fee:	\$457.00

F) CORRESPONDENCE ADDRESS:

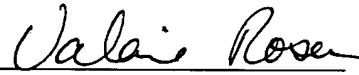
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Respectfully Submitted,


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